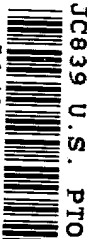


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Express Mail Label No.: EL659647255US

Date of Deposit: August 15, 2001

Attorney Docket No. 21822-005C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR Samuel W. Lessin
APPLICATION IDENTIFIER:

FOR: METHODS AND APPARATUSES FOR AN ONLINE PERSONAL
FUNDING MARKETPLACE

August 15, 2001
New York, New York

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231



REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 62);
Specification (46 pages); Claims (7 pages); Abstract (1 page); and
Drawings: 16 sheets; FIGS. 1-16.
☐ Formal
☒ Informal
3. ☒ Declaration and Power of Attorney
☐ Unsigned
☒ Signed
4. ☐ Information Disclosure Statement (IDS)
☐ Copy of IDS and PTO-1449 (___ pages)
☐ Copies of references cited
5. ☐ Assignment Papers
☐ Recordation Form Cover Sheet (PTO-1595)
☐ Assignment Document
6. ☒ Statement Claiming Small Entity Status
☐ Claiming Small Entity As Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).
☒ Claiming Small Entity As Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).
☐ Claiming Small Entity As Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

FIRST-NAMED INVENTOR OR
APPLICATION IDENTIFIER:
SAMUEL W. LESSIN
Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	36	- 20 =	16	\$ 18.00	\$288.00
Independent Claims (37 C.F.R. 1.16(b))	9	- 3 =	6	\$80.00	\$480.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	
				SUBTOTAL:	\$1478.00
				Reduction by 50% for filing by small entity:	- \$739.00
				TOTAL FEE:	\$739.00

8. ☒ A check in the amount of \$739.00 is enclosed.
9. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 22866-001B:
- ☒ Fees required under 37 C.F.R. §1.16;
- ☒ Fees required under 37 C.F.R. §1.17;
- ☐ Fees required under 37 C.F.R. §1.18.
10. ☒ Return Receipt Postcard Enclosed.
11. ☐ Other Documents Enclosed:
- ☐ Check for \$ 40.00 for recordation of assignment.
- ☐ Limited Recognition under 37 C.F. § 10.9(b) for Michel Morency.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669
Attorney for Applicants
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: August 15, 2001